

## Appendix 1 (as supplied by the authors): Self-administered questionnaire and description of scalability assessment criteria

### One-page self-administered questionnaire

Name of the team and nominated principal investigator \_\_\_\_\_

Name and abbreviation of the evidence-based Innovation (EBI\*) \_\_\_\_\_

Type of the evidence-based Innovation (EBI\*) \_\_\_\_\_

Aim of the evidence-based Innovation (EBI\*) \_\_\_\_\_

\*We define EBI as a program, model, approach, tool, instrument, indicator, algorithm, service, idea, policy, practice whose evidence base has been established.

Dimensions	Items	Yes	No	UE	NP	NA <sup>+</sup>	Comment
<b>Theory</b>	Did a theory or conceptual model(s) inform the development of the EBI?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Impact</b>	Do you have data on the acceptability of the EBI?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Do you have data on the feasibility of the EBI?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Do you have data on the adaptability of the EBI?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Do you have data on the efficacy of the EBI (i.e., testing under optimal conditions)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Do you have data on the effectiveness of the EBI (i.e., testing in real-world conditions)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Have the results of the testing of the EBI been documented?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Coverage</b>		<b>Yes</b>	<b>No</b>	<b>UE</b>	<b>NP</b>	<b>NA<sup>+</sup></b>	<b>Comment</b>
	Do you have a measure of the reach of the EBI (numerator & denominator)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Do you have a measure of the adoption of the EBI (numerator & denominator)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Do you have data on the fidelity of the implementation/use of the EBI?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Do you have data on the maintenance of the EBI? If yes for how long (please specify)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Setting</b>		<b>Yes</b>	<b>No</b>	<b>UE</b>	<b>NP</b>	<b>NA<sup>+</sup></b>	<b>Comment</b>
	Did you implement the EBI in a setting (e.g., at individual, community, cultural, political, workforce or organizational levels) comparable to that of the new setting to which it will be scaled up and/or spread?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Did you assess if the EBI is compatible with similar interventions in the same setting?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Did you determine if the EBI is consistent with policy directives?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Cost</b>		<b>Yes</b>	<b>No</b>	<b>UE</b>	<b>NP</b>	<b>NA<sup>+</sup></b>	<b>Comment</b>
	Do you have data on the cost-effectiveness of the EBI (compared to existing equivalent EBIs or alternatives)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Do you have data on potential financial and staff resources needed to scale up and/or spread the EBI?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

**Abbreviations:** *EBI*, Evidence-Based Innovation; *UE*, Under Evaluation; *NP*, Not Planned (or not evaluated); *NA*, Not Applicable (\* please specify why NA).

**Definitions:** *Reach*: proportion (numerator and denominator) of target population experiencing the EBI; *Adoption*: proportion (numerator and denominator) of settings that will adopt the EBI; *Fidelity*: extent to which the EBI is implemented/used as intended; *Maintenance*: extent to which the EBI is sustained over time.

Please send this completed form to [kasra.hassani@ubc.ca](mailto:kasra.hassani@ubc.ca) by September 29<sup>th</sup> 2017. For questions, please contact Ali Ben Charif ([ali.ben-charif.1@ulaval.ca](mailto:ali.ben-charif.1@ulaval.ca)).

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August 2017

**Supplementary Table A1:** Description of scalability assessment criteria among 33 evidence-based innovations

Assessment dimension	Criterion	Number of evidence-based innovations (% row)			Number of evidence-based innovations met criteria per dimension: mean <sup>3</sup> (SD)
		Criterion met	Criterion not met	Criterion not applicable	
Use of theory (1 criterion)	Evidence-based innovations developed with theory <sup>1</sup>	29 (87.9)	2 (6.1)	2 (6.1)	Not applicable
Impact assessments (6 criteria)	Acceptability	22 (66.7)	4 (12.1)	7 (21.2)	22.3 (5.6)
	Feasibility	26 (78.8)	3 (9.1)	4 (12.1)	
	Adaptability	19 (57.6)	8 (24.2)	6 (18.2)	
	Efficacy <sup>2</sup>	13 (39.4)	10 (30.3)	9 (27.3)	
	Effectiveness	27 (81.8)	2 (6.1)	4 (12.1)	
	Results documented	27 (81.8)	4 (12.1)	2 (6.1)	
Setting assessments (3 criteria)	Implemented in setting comparable to target setting <sup>1</sup>	28 (84.8)	1 (3.0)	4 (12.1)	21.7 (8.5)
	Compatibility with similar evidence-based innovations in target settings <sup>2</sup>	12 (36.4)	13 (39.4)	7 (21.2)	
	Consistency with policy directives	25 (75.8)	0	8 (24.2)	
Cost assessments (2 criteria)	Cost-effectiveness	16 (48.5)	10 (30.3)	7 (21.2)	17.5 (2.1)
	Resources needed for the scale-up (affordability)	19 (57.6)	10 (30.3)	4 (12.1)	
Coverage assessments (4 criteria)	Reach (numerator & denominator)	14 (42.4)	7 (21.2)	12 (36.4)	14.0 (4.1)
	Adoption (numerator & denominator) <sup>1</sup>	9 (27.3)	12 (36.4)	12 (36.4)	
	Fidelity	19 (57.6)	4 (12.1)	10 (30.3)	
	Maintenance <sup>1,2</sup>	14 (42.4)	4 (12.1)	14 (42.4)	
<b>Abbreviations:</b> SD, standard deviation					
<sup>1</sup> Due to rounding error, percentages may not add up to 100%					
<sup>2</sup> Information about the assessment criteria was not provided for one evidence-based innovation (i.e., missing value: n=1, 3.0%)					
<sup>3</sup> Mean = sum of the number of evidence-based innovations (n) that met the criteria in each dimension divided by the total number of criteria in that dimension.					