Surgery does not improve reoperation risk or patient function compared to

nonoperative approaches for common fractures of the clavicle:

A systematic review and meta-analysis of randomized controlled trials

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# ABSTRACT

**Background:** The popularity of surgery for acute displaced midshaft clavicle fractures has been fueled by early randomized controlled trials (RCTs) demonstrating improved radiographic union rates and perceived functional benefits over nonoperative approaches. We performed a meta-analysis to determine the relative effects of operative and nonoperative interventions in treating acute displaced midshaft clavicle fractures on secondary operations, all other complications, and long-term function.

**Methods:** We search MEDLINE, Embase and the Cochrane Library for reports of relevant RCTs published to March 7<sup>th</sup>, 2014. Two reviewers assessed the eligibility of potential reports and the risk of bias of included trials. The Grading of Recommendations Assessment, Development and Evaluation approach was used to summarize the quality of evidence for all outcomes.

**Results:** Fifteen RCTs were included (9 trials comparing operative versus nonoperative, 5 comparing implants for operative treatment, and 1 comparing nonoperative treatments). Nonoperative treatment did not differ from operative treatment in the risk of secondary operations (risk ratio (RR) 1.16, 95% confidence interval (CI) 0.58 to 2.35, p=0.67) or other complications (RR 0.90, 95% CI 0.55 to 1.50, p=0.70). One in four patients suffered a complication regardless of treatment approach. Functional outcome differences, although

smaller than the threshold for minimal important differences at 1 year, favored operatively treated patients (standardized mean difference 0.38, 95% CI 0 to 0.75, p=0.05). Evidence for the type of implant or approach to nonoperative treatment remained inconclusive.

**Interpretation:** Current evidence does not support routine surgery for displaced midshaft clavicle fractures. Complication rates remain high regardless of treatment approach.

# BACKGROUND

Clavicle fractures are common injuries affecting approximately 22,000 Canadians each year and 1.75 million fractures worldwide<sup>1-6</sup>. The vast majority of these fractures are located in the midshaft, accounting for approximately 80% of all clavicle fractures<sup>1.2</sup>. Traditionally closed midshaft clavicle fractures were treated nonoperatively, a practice largely based on previous studies by Neer and Rowe<sup>7,8</sup>. In the last decade, evidence challenged the standard of nonoperative treatment, reporting high rates of nonunion (15-20%), poor early function, and up to 42% of patients experiencing residual sequelae at 6 months following nonoperative management<sup>9</sup>. Small clinical trials that followed have fueled a growing popularity to treat these fractures surgically with plates and screws or intramedullary devices; however, these procedures carry inherent surgical risks for infection, implant failure and hardware irritation requiring subsequent removal<sup>10,11</sup>.

We performed a meta-analysis to determine the relative effects of operative and nonoperative interventions in treating acute displaced midshaft clavicle fractures with respect to rates of secondary operations, all other complications, and longterm function. Our study advances prior reviews by including new evidence from randomized controlled trials (RCTs), our focus on major health outcomes such as secondary operations within 1 year, and improved summary of evidence using Grading of Recommendations Assessment, Development, and Evaluation

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(GRADE) to rate the quality of evidence available for each patient-focused outcome.

#### METHODS

We conducted this study according to the methods outlined in the *Cochrane Handbook for Systematic Reviews of Interventions*<sup>12</sup>. Our findings are reported in accordance with the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) statement<sup>13</sup>.

#### Literature search

We systematically searched MEDLINE, Embase, and the Cochrane Library for articles published up to and including March 7<sup>th</sup>, 2014 (Appendix 1). MeSH and EMTREE headings were used in various combinations and supplemented with free text (Appendix 1). An RCT filter developed by the Health Information Research Unit (HiRU) at McMaster University<sup>14</sup> was applied to the search. No language or publication date restrictions were applied. Manual review of reference lists of key articles, and use of the "related articles" feature in PubMed were conducted to identify additional studies. We searched conference proceedings (American Academy of Orthopaedic Surgeons, Canadian Orthopaedic Association, Orthopaedic Trauma Association) from the last 5 years and *Clinicaltrials.gov* to identify relevant unpublished studies.

## Study selection

We included RCTs comparing any form of operative or nonoperative interventions for acute displaced midshaft clavicle fractures in patients 16 years of age or older. Thus, studies comparing operative versus nonoperative interventions, studies comparing operative implants, as well as studies comparing nonoperative interventions were considered. Two reviewers, both with methodological expertise and one with content expertise independently, in duplicate, screened titles and abstracts of identified citations from the electronic search. Disagreements were carried forward for full text review. The full texts of potentially eligible reports were independently evaluated in duplicate, and disagreements were resolved through a consensus process to determine final eligibility.

## Data extraction and quality assessment

The same two reviewers independently extracted data from included studies using a piloted electronic data abstraction form. Authors of included studies were contacted if important data were unclear or not reported. When information was reported by graphical analyses only, the data were derived from the figures using a graph digitizing software (GraphClick, Arizona Software, Switzerland).

The primary outcomes for this review were secondary operations, all other complications, and long-term function (1 year or longer). Routine hardware removal was not included as a secondary operation; only those that had an indication for removal such as, infection, irritation or implant failure were counted.

Complications included symptomatic malunion, symptomatic nonunion, loss of primary reduction, hardware irritation, infection, neurologic symptoms, or other issues requiring surgical treatment. The selected complications were chosen as they are considered to be patient-focused outcomes or were commonly reported in the identified primary studies.

For the assessment of methodological quality, both reviewers independently assessed the risk of bias of included trials using the Cochrane Risk of Bias tool<sup>12</sup>. They evaluated the quality of evidence in included trials using the GRADE approach<sup>15</sup>. Data from RCTs were considered high-quality evidence, but could have been rated down according to risk of bias, inconsistency, imprecision, indirectness, or publication bias.

# **Data synthesis**

Studies comparing operative implants, as well as studies comparing nonoperative interventions were summarized quantitatively using risk ratios (RRs) for secondary operations and all other complications. Mean differences (MDs) were calculated for functional outcome scores.

Operative versus nonoperative trials were pooled in a meta-analysis. We pooled data on secondary operations and all other complications from only trials that completely reported these outcomes, and calculated RRs using the Mantel-Haenszel method and a random effects model<sup>12</sup>. We performed a 'none has

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event' analysis, a variation of 'analysis-as-randomized'<sup>16</sup>. All patients randomized comprised the denominator but those that were lost to follow-up (LTFU) were assumed to not have had an event<sup>16</sup>. We performed two sensitivity analyses to investigate the effects of dropouts and exclusions: 1) complete-case analyses and 2) arm-level assumption analyses, where the relative incidence among those with missing data was assigned the same incidence as those followed-up in the same arm ( $RI_{LTFU/FU} = 1$ )<sup>16</sup>.

A complete case analysis was performed for long-term function and was summarized using Standard Mean Differences (SMDs). The SMDs were weighted according to the inverse variance method and pooled with a random-effects model<sup>12,17,18</sup>. Minimal important differences (MIDs) were incorporated to aid the interpretation of treatment effects. The MID describes the smallest effect that an informed patient would perceive as beneficial enough to justify a change in management<sup>19-23</sup>. The MID for the DASH questionnaire is estimated to be 10.2 points<sup>24,25</sup>, which was converted to units of SD using the DASH median SD for each comparison<sup>26</sup>. A zone of clinical equivalence based on the converted MID was projected onto the forest plot to aid interpretability of the pooled SMDs.

We quantified heterogeneity using the X<sup>2</sup> test for heterogeneity and the I<sup>2</sup> statistic<sup>12</sup>. We developed a priori hypotheses to explain potentially high heterogeneity in treatment effects across trials between intramedullary and plate fixation, between immediate and delayed (1-4 weeks) surgical intervention,

between two fragment and comminuted fractures, and between the presence or absence of selection and/or detection bias.

The Cohen  $\kappa$  (kappa) coefficient was used to evaluate agreement between the two reviewers for full text screening and a weighted  $\kappa$  coefficient was used to evaluate inter-observer agreement between the two reviewers for the risk of bias assessment<sup>27,28</sup>; all coefficients were calculated using SPSS software (version 21.0; SPSS Inc.). All tests of significance were 2-tailed, and p values of less than 0.05 were considered significant. To assess for publication bias, we visually inspected a funnel plot for the outcome of long-term function<sup>12</sup>. The forest plots and funnel plot were generated using Review Manager software (RevMan version 5.2; Nordic Cochrane Centre, Cochrane Collaboration, 2012).

## RESULTS

## **Included Studies**

The electronic literature search identified 422 potentially relevant citations. Fifteen of these studies proved eligible for inclusion (Figure 1). The overall agreement between reviewers for final eligibility was excellent ( $\kappa$  = 0.94, 95% CI 0.84 to 1).

# **Study Characteristics**

All fifteen studies included in this review were published between 2007 and 2013 (Table 1). Nine studies compared operative with nonoperative treatment. Five

 studies compared different operative implants<sup>29-33</sup>. One placebo-controlled trial managed all fractures nonoperatively<sup>34</sup>. Twelve studies were reported in English. The three studies that were not in English were translated by reviewers with methodological expertise<sup>30,35,36</sup>. Eight studies were considered to be at *low* risk for attrition bias, six were classified as *high* risk, and one was judged as unclear (Figure 2). Agreement between reviewers in the assessment of study methodological quality was excellent, weighted  $\kappa = 0.85$ . The funnel plot did not suggest publication bias (Figure 3); however, the sample of only eight studies<sup>5,10,35,37-41</sup> limits interpretability<sup>12</sup>.

## **OPERATIVE VERSUS NONOPERATIVE MANAGEMENT**

## Secondary Procedures

Nonoperative treatment did not confer a greater risk of secondary operations across 8 trials involving 685 patients (RR 1.16, 95% CI 0.58 to 2.35, p = 0.67; heterogeneity p = 0.08,  $I^2$  = 50%) (Figure 4). Subgroup analyses suggested an interaction between the type of operative implants (plate versus intramedullary fixation) and the need for secondary operation (p = 0.05). These findings were robust to sensitivity testing (complete-case and Rl<sub>LTFU/FU</sub> = 1 analyses) for those trials with missing data. Reoperations in the operative group commonly included hardware irritation (54.8%), infection (19%) and implant failure/refracture (19%). Common indications for secondary procedures in nonoperatively managed patients were symptomatic nonunion (57.1%) and symptomatic malunion (28.6%).

#### All Complications

Across eight studies, there were 77 (23%) complications in 340 operatively treated patients and 88 (26%) complications in 345 nonoperatively treated patients (Table 2). Operative and nonoperative treatments did not differ in complication risk (RR 0.90, 95% CI 0.55 to 1.50, p = 0.70; heterogeneity p = 0.01,  $I^2 = 63\%$ ) (Figure 5). Between trial heterogeneity was not explained by subgroup analysis for type of operative implant (p = 0.15). Sensitivity testing (complete-case and RI<sub>LTFU/FU</sub> = 1 analyses) for those trials with missing data conferred a similar result.

# Functional scores

All studies in the pooled analysis evaluated function at 1-year with the exception of one trial<sup>40</sup>, which assessed shoulder function at 2 years. Long-term function favored operatively treated patients (SMD 0.38, 95% Cl 0.00 to 0.75, p = 0.05; heterogeneity p = 0.0001, l<sup>2</sup> = 79%) (Figure 6); however, the pooled estimate did not exceed the threshold of ±1.33 SD for the MID. Subgroup analyses to assess the potential risk of selection bias and attrition bias for overall function at one year or more did not differ appreciably from the prior analysis.

## **OPERATIVE INTERVENTIONS**

Comparison of surgical implants with respect to indications for reoperations, all other complications and long-term function have been summarized in Table 3.

# NONOPERATIVE TREATMENTS

The available evidence for conservative treatment of acute displaced midshaft clavicle fractures from a placebo-controlled trial of high methodological quality found no differences in clinical fracture healing between LIPUS and placebo<sup>34</sup>. The study reported nine (4 placebo, 5 active) out of 101 (8.9%) patients who completed the study underwent subsequent operative treatment with open reduction and internal fixation (ORIF) for fractures that did not heal according to the patients.

# INTERPRETATION

# **Key Findings**

This meta-analysis assessing the relative effects of operative versus nonoperative intervention for acute displaced midshaft clavicle fractures suggested that the incidence of secondary operations and all other complications were similar in both the operative and nonoperative groups. There was modest functional improvement at 1 year in operatively treated patients, however this finding did not reach clinical significance. Based on the GRADE criteria (Table 4), the current systematic review and meta-analysis found a lack of high-quality

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evidence to inform the management of acute displaced midshaft clavicle fractures.

A previous systematic review captured secondary procedures<sup>1</sup> and reported a pooled estimate of effect reported as a RR of 0.38, 95% CI 0.15 to 0.99, favoring the operative group, a finding that is inconsistent with our review. Our review added a recent RCT and increased the pooled sample size by over one third, likely explaining, in part, the inconsistency. This discrepancy may be further explained by the fact that our review captured hardware irritation and infection as indications for non-routine secondary procedures, whereas Lenza et al., 2013<sup>1</sup> did not.

#### Limitations

While our population was homogenous in terms of major demographic characteristics, heterogeneity was identified across our key outcomes (I<sup>2</sup> of 50% - 79%). Although operative treatment with plates and screws, and intramedullary devices are technically distinct from each other, pooling them separately did not explain the high heterogeneity seen in the primary analyses. Seven out of the fifteen trials included in this review had inadequately addressed those patients who were lost to follow-up. Markedly, a greater number of patients lost to follow-up were in the nonoperative group of the trials comparing operative to nonoperative treatment, which may limit the precision of our estimates of treatment effects and thus overall generalizability.

#### Implications for practice

Adopting a policy of routine internal fixation for acute displaced midshaft clavicle fractures is contentious, as surgery carries the burden of greater hospital expenditures, as well as inherent surgical complications, including deep or superficial wound infection, hardware irritation, hardware failure or migration, and poor cosmesis of a surgical scar<sup>9,41</sup>. A recent retrospective population-based study conducted in Ontario, Canada of 1350 patients treated with ORIF for a closed isolated midshaft clavicle fracture conducted by Leroux et al., 2014 reported a reoperation rate (24.6%), which is approximately twice as high as our findings<sup>42</sup>. Fifty percent of patients had their hardware removed after 12 months (median 12, months; IQR, 5.8 to 16.1 months), whereas more than half of the trials included in this meta-analysis had a follow-up period of only 12 months. The inclusion of data from non-academic institutions and longer follow-up in this retrospective analysis could potentially explain the higher reoperation rate compared to the RCTs included in this study, and nonetheless could have profound clinical implications.

## Implications for research

If long-term function is seemingly similar between treatment groups then further investigation should aim to determine if early functional improvements (<6 months) in operatively treated patients significantly differ from those patients treated nonoperatively. The most recent RCT included in our review evaluated

absence from work, and found that although the timing of return to work was dependent on the nature of the patients' work, no significant differences were found between the two groups in terms of total time off work following injury (p = 0.7)<sup>5</sup>.

The trials included in our review did not provide sufficient evidence to suggest which patients would benefit most from surgical treatment. It still remains unclear whether certain fracture characteristics such as shortening, displacement or comminution can reliably predict patient-focused functional outcomes<sup>43</sup>. A reliability study amongst fellowship-trained shoulder and sports medicine orthopedic surgeons demonstrated moderate to strong agreement for both degree of displacement and comminution; however, standard plain unilateral radiographs of the clavicle were insufficient to reliably determine the degree of shortening of clavicle fractures and the need for surgery among this cohort<sup>44</sup>. Further investigations are required to develop better criteria to avoid under- or overestimating fracture severity. Thereby, focusing the utilization of surgical resources on appropriate candidates and preventing under-treatment of the injury nonoperatively.

## CONCLUSIONS

Routine use of internal fixation for the treatment of these injuries may not be entirely consistent with current best evidence. Evidence for the type of implant or

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approach to nonoperative treatment remains inconclusive and complication rates high regardless of the management approach.

Conflict of Interests: There was no funding for this study.

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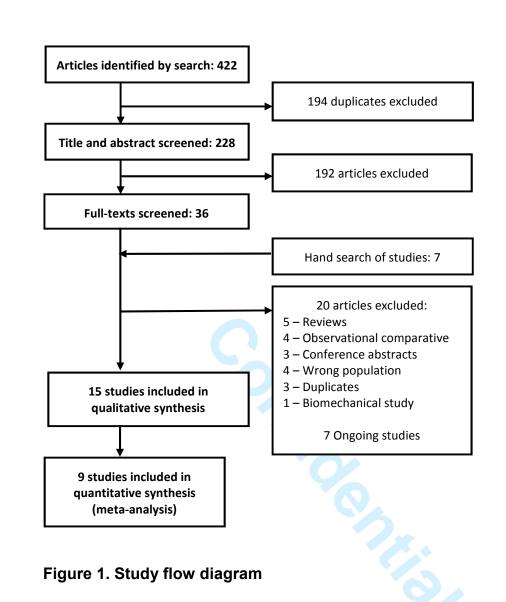
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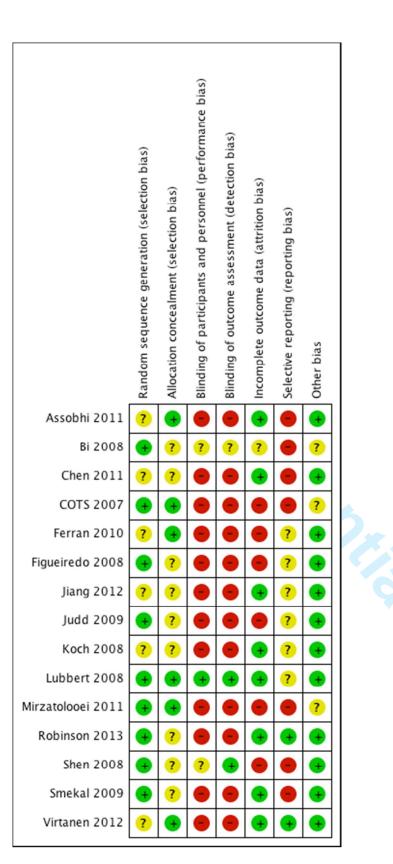
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# Appendix 1. Example search strategy – MEDLINE via OVID

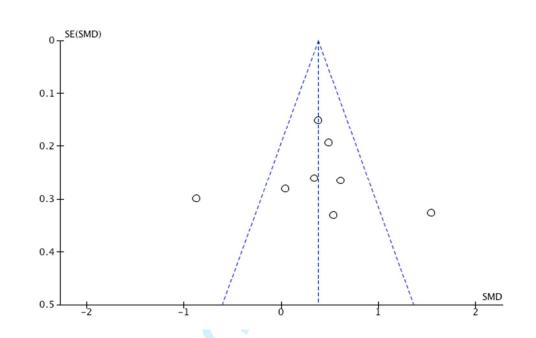
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- 18. internal fixation.mp.
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# Figure 2. Risk-of-bias assessment of randomized controlled trials in the meta-analysis



# Figure 3. Funnel Plot of long-term function in trials of operative versus nonoperative treatment

Note: SMD = standardized mean difference

	Operat	ive	Nonope	rative		Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	M–H, Random, 95% CI
COTS 2007	9	67	18	65	28.3%	0.49 [0.24, 1.00]	
Figueiredo 2008	0	24	0	16		Not estimable	
Judd 2009	6	29	1	28	9.1%	5.79 [0.74, 45.11]	
Koch 2008	0	35	0	33		Not estimable	
Mirzatolooei 2011	2	29	0	31	4.9%	5.33 [0.27, 106.61]	
Robinson 2013	16	95	17	105	30.7%	1.04 [0.56, 1.94]	-+-
Smekal 2009	9	33	5	35	22.6%	1.91 [0.71, 5.11]	+
Virtanen 2012	0	28	1	32	4.4%	0.38 [0.02, 8.95]	
Total (95% CI)		340		345	100.0%	1.16 [0.58, 2.35]	•
Total events	42		42				
Heterogeneity: Tau <sup>2</sup> =	0.32; Ch	$i^2 = 9.$	96, df = 5	5 (P = 0)	$.08$ ; $I^2 =$	50%	0.01 0.1 1 10 100
Test for overall effect:	Z = 0.42	(P = 0	).67)				Favours Operative Favours Nonoperativ

# Figure 4. Pooled estimates of secondary surgery between operative and nonoperative groups

Note: Figueiredo et al., 2008; n =24 (operative), n=16 (nonoperative) are number completed for this study, and not the number initially randomized. CI = confidence interval

	Operat	tive	Nonoper	rative		Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	M-H, Random, 95% CI
COTS 2007	23	67	31	65	21.9%	0.72 [0.47, 1.09]	
Figueiredo 2008	3	24	3	16	8.1%	0.67 [0.15, 2.90]	
Judd 2009	11	29	2	28	8.6%	5.31 [1.29, 21.85]	
Koch 2008	0	35	0	33		Not estimable	
Mirzatolooei 2011	7	29	21	31	17.4%	0.36 [0.18, 0.71]	
Robinson 2013	21	95	18	105	19.5%	1.29 [0.73, 2.27]	
Smekal 2009	9	33	8	35	15.3%	1.19 [0.52, 2.72]	
Virtanen 2012	3	28	5	32	9.2%	0.69 [0.18, 2.61]	
Total (95% CI)		340		345	100.0%	0.90 [0.55, 1.50]	•
Total events	77		88				
Heterogeneity: Tau <sup>2</sup> =	0.26; Cł	$ni^2 = 16$	5.35, df =	6 (P =	0.01); I <sup>2</sup>	= 63%	0.02 0.1 1 10
Test for overall effect:	Z = 0.39	P = 0	.70)				Favours Operative Favours Nonopera

# Figure 5. Pooled estimates of all other complications between operative and nonoperative groups

Note: Figueiredo et al., 2008; n =24 (operative), n=16 (nonoperative) are number completed for this study, and not the number initially randomized. CI = confidence interval

	0	perative		No	noperativ	e		Std. Mean Difference	Std. Mean Difference
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Random, 95% CI	IV, Random, 95% CI
Chen 2011	97	4.24	30	94	5.42	30	12.5%	0.61 [0.09, 1.13]	
COTS 2007	96.1	5.12	62	90.76	15.28	49	14.0%	0.49 [0.11, 0.87]	
Figueiredo 2008	32.88	2.63	24	30.75	5.29	16	11.0%	0.53 [-0.11, 1.18]	
Judd 2009	93.5	4.2	27	97	3.6	23	11.7%	-0.88 [-1.46, -0.29]	
Mirzatolooei 2011	89.8	6	26	78.8	8	24	11.1%	1.54 [0.90, 2.18]	
Robinson 2013	92	9.3283	86	87.8	12.5547	92	14.9%	0.38 [0.08, 0.67]	
Smekal 2009	97.9	2.8	30	96.8	3.6	30	12.6%	0.34 [-0.17, 0.85]	
Virtanen 2012	86.5	11.5	26	86.1	8.9	25	12.1%	0.04 [-0.51, 0.59]	
Total (95% CI)			311			289	100.0%	0.38 [0.00, 0.75]	
Heterogeneity: Tau <sup>2</sup> =	0.22; C	$hi^2 = 33.$	25, df	= 7 (P <	: 0.0001);	$I^2 = 79$	%		
Test for overall effect	Z = 1.9	6 (P = 0.	05)						Favours Nonoperative Favours Operative

# Figure 6. Pooled long-term (≥ 1 year) function following operative and nonoperative treatment

Note: Red lines show a zone of clinical equivalence based on a minimal important difference of 10.2 points on the DASH questionnaire. Standardized mean differences greater than zero favor operative treatment. CI = confidence interval

Linean differences great

# Table 1. Characteristics of included studies

Study	Country	Sample size	Males (%)	Age (mean)	Length of Follow-up ‡	Intervention	Comparison
Chen et al., 2011 <sup>37</sup>	China	60	53	38.7	15 (10-20)	TEN	Sling
COTS 2007 <sup>10</sup>	Canada	132	78	33.5	12 <sup>*</sup>	Open reduction plate fixation†	Sling
Figueiredo et al., 2008 <sup>35</sup>	Brazil	50	78	30.2	24	DCP AI plate	Sling
Judd et al., 2009 <sup>38</sup>	United States	57	91	26.5	12	Modified Hagie pin	Sling
Koch et al., 2008 <sup>36</sup> Mirzatolooei 2011 <sup>39</sup> §	Germany Iran	68 60	66 82	35.4 35.6	19.1 (8-26) 12	Intramedullary pin Reconstruction plate on superior surface	Figure of 8 dressing Sling
Robinson et al., 2013 <sup>5</sup>	United Kingdom	200	88	32.4	12	Locking plate	Collar and Cuff
Smekal et al., 2009 <sup>40</sup>	Austria	68	87	37.7	24	TEN	Sling
Virtanen et al., 2012 <sup>41</sup>	Finland	60	87	36.7	12	Reconstruction plate on anterior surface	Sling
Assobhi et al., 2011 <sup>29</sup>	Egypt	38	87	31.5	12	Al reconstruction plate	RTEN
Bi et al., $2008^{30}$ Ferran et al., $2010^{31}$ Jiang et al., $2012^{32}$ § Shen et al., $2008^{33}$	China United Kingdom China China	201 133 64 32	72 84 63 56	39.8 29.2 42.5 44.2	10.6 (4-21) 12 24 12	Retrograde percutaneous pin LCDCP LCP Superior reconstruction plate	Kirshner pin Rockwood pin MIPPO 3D contoured cortical plate
Lubbert et al., 2008 <sup>34</sup>	Netherlands	120	84	NR	12	LIPUS	Placebo

Note: NR = Not reported, TEN = Titanum elastic nail, DCP AI = Dynamic compression plate in antero-inferior position, N/A = Not applicable, AI = antero-inferior surface, RTEN = retrograde titanium elastic nail, LCP = locking compression plate, MIPPO = minimally invasive percutaneous plate osteosynthesis, LCDCP = limited contact dynamic compression plate

§ Only enrolled patients with comminuted fractures (3 or more fragments)

† Open reduction and plate fixation (44 patients with limited contact dynamic compression plates; 15 with 3.5 mm reconstruction plates; four with pre-contoured plates, and four with other plates

‡ Longest follow-up; Chen et al., 2011, Koch et al., 2008, Bi et al., 2008 reported as mean (range)

\*Data for two years in a subsequent publication (Schemitsch et al., 2011)

Study	Operative Group (N = 340 Patients)	Nonoperative group (N = 345 Patients)
COTS 2007 <sup>10</sup>	9 operative procedures	18 operative procedures
	2 symptomatic nonunions	7 neurologic symptoms
	8 neurologic symptoms	1 complex regional pain syndrome
	2 abnormality of the AC or SC	3 abnormality of the AC or CV joint
	joint	2 other (not described)
	2 other (not described)	
Figueiredo et al., 2008 <sup>35</sup>	2 symptomatic nonunions	1 symptompatic nonunion
	1 implant failure	2 adhesive capsulitis
Judd et al., 2009 <sup>38</sup>	6 operative procedures	1 operative procedure
	1 refracture	1 refracutre
	3 wound infections	
	1 neurologic symptoms	
Koch et al., 2008 <sup>36</sup>	NR	NR
Koch et al., 2008 <sup>36</sup> Mirzatolooei 2011 <sup>39</sup>	2 operative procedures	19 symptomatic malunions
	4 symptomatic malunions	2 neurologic symptoms
	1 early mechanical failure	
Robinson et al., $2013^5$	16 operative procedures	17 operative procedures
	2 wound infections	1 rotator cuff impingement
	1 wound dehiscence	
	2 rotator cuff impingement	
Smekal et al., 2009 <sup>40</sup>	9 operative procedures	5 operative procedures
		3 neurologic symptoms
Virtanen et al., 2012 <sup>41</sup>	1 refracture	1 operative procedure
	1 early mechanical failure	2 symptomatic malunions
	1 hardware irritation	2 refractures
Total Complications	77	88
	d, AC = Acromioclavicular, SC = St	ernoclavicular

# Table 3. Summary of secondary operation and complication rates, and functional outcome for trials comparing operative interventions

Study	Secondary Operations	RR (95% CI)	Complications not requiring surgical intervention	RR (95% CI)	Functional Outcome: Constant Score (1 year)	MD (95% CI)
Assobhi et al., 2011 <sup>29</sup>	_					
Al reconstruction plate (n = 19)	1 nonunion 1 wound infection and implant loosening	0.67 (0.13 to 3.55)	1 nonunion	1.00 (0.23 to 4.34)	89.8 (11.3)	-5.60 (-11.21 to 0.01)
<b>RTEN</b> (n = 19)						
	3 prominent nails		NR		95.5 (5.3)	
Bi et al., 2011 <sup>30</sup>						
Retrograde percutaneous pin (n = 101)	NR	NA	NR	0.11 (0.01 to 2.02)	NR	NA
Kirshner pin (n = 100)	NR	he.	4 nonunions		NR	
Ferran et al., 2010 <sup>31</sup>					[ ·····	
Rockwood pin (n = 17)	1 implant loosening	0.22 (0.06 to 0.88)	NR	0.22 (0.06 to 0.88)	92.1 (6)*	3.4 (-2.02 to 8.82)
<b>LCDCP</b> (n = 15)	3 superficial infections 1 persistent pain 4 hardware irritation		NR		88.7 (9.1)*	
Jiang et al., 2012 <sup>32</sup>						
<b>MIPPO</b> (n = 32)	NR	NA	NR	NA	96†	0.30 (-4.70 to 5.30)
<b>LCP</b> (n = 32)	NR		NR		95.7†	
Shen et al., 2008 <sup>33</sup>						
<b>3D contoured cortical plate</b> (n = 67)	1 delayed union	0.12 (0.02 to 0.96)	3 'symptomatic patients'	0.20 (0.06 to 0.65)	NR	NA
Superior reconstruction plate (n = 66)	8 delayed unions		15 'symptomatic patients'			

Note: NR = Not reported, NA = Not applicable, AI = antero-inferior surface, RTEN = retrograde titanium elastic nail, LCP = locking compression plate, MIPPO = minimally invasive percutaneous plate osteosynthesis, LCDCP = limited contact dynamic compression plate \*Unclear as to whether or not this was at 1-year assessment

†No standard deviation reported; means were abstracted from graphical analyses

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Comparison: Nonoperative	atment (plate or intramedulla e treatment (standard sling, fi rations, all other complication	gure of eight dressing, or a collar	and cuff)
Outcomes	No. of participants (studies)	Anticipated effects, risk with operative treatment (95% CI)	GRADE quality of evidence
Secondary operations Follow-up: 12 months	685 (8)	Evidence suggested higher incidence of secondary surgery (RR 1.16, 95% CI 0.58 to 2.35) in the operative group, but this was not statistically significant	⊕⊕⊝⊝ low*‡
All other complications Follow-up: 12 months	685 (8)	Evidence suggested a slightly lower number of complications (RR 0.9, 95% CI 0.55 to 1.5) in the operative group, but this was not statistically significant	⊕⊕⊝⊝ low*‡
Long-term function Follow-up: (≥ 1 year)	611 (8)	Mean long-term shoulder function was 0.38 SDs higher (0.22 lower to 0.54 higher)†	⊕⊝⊝⊝ very low*§
standard deviation, MID = minimal *Downgraded because of risk of bi †Effect failed to exceed minimal im ‡Downgraded because of fragility §Downgraded for imprecision and GRADE Working Group grades of High quality: Further research is v Moderate quality: Further research	important difference as (lack of blinding study personnel portant difference (smallest effect th of few events inconsistency evidence very unlikely to change our confiden th is likely to have an important impa	, unclear reporting of allocation concealment nat an informed patient would perceive as	beneficial enough to justify a change in management) fect and may change the estimate.



# PRISMA 2009 Checklist

Section/topic	#	Checklist item	Reporte on page
TITLE			
Title	1	Identify the report as a systematic review, meta-analysis, or both.	1
ABSTRACT			
Structured summary	2	Provide a structured summary including, as applicable: background; objectives; data sources; study eligibility criteria, participants, and interventions; study appraisal and synthesis methods; results; limitations; conclusions and implications of key findings; systematic review registration number.	2-3
INTRODUCTION			
Rationale	3	Describe the rationale for the review in the context of what is already known.	4
Objectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons, outcomes, and study design (PICOS).	4
METHODS			
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and, if available, provide registration information including registration number.	N/A
Eligibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	6
Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	5
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	21
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic review, and, if applicable, included in the meta-analysis).	6
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	6-7
Data items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	6-7
Risk of bias in individual studies	12	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	7
Summary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	7-8
Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., I <sup>2</sup> ) for each meta-analysis.	8-9

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# **PRISMA 2009 Checklist**

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Section/topic	#	Checklist item	Reported on page #			
Risk of bias across studies	15	Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).	8-9			
Additional analyses	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were pre-specified.	8-9			
RESULTS						
Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.	9-10			
Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size, PICOS, follow-up period) and provide the citations.	10			
Risk of bias within studies	19	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	10			
Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.	10-12			
Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and measures of consistency.	10-11			
Risk of bias across studies	22	Present results of any assessment of risk of bias across studies (see Item 15).	10			
Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).	10-11			
DISCUSSION	1					
<sup>)</sup> Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	12-13			
Limitations	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	13-14			
Conclusions	26	Provide a general interpretation of the results in the context of other evidence, and implications for future research.	14-16			
FUNDING		•				
Funding	27	Describe sources of funding for the systematic review and other support (e.g., supply of data); role of funders for the systematic review.	16			

*From:* Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med 6(6): e1000097. 43 doi:10.1371/journal.pmed1000097 

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