

1
2
3 1 The epidemiology of invasive pneumococcal disease in older adults from 2007-2014 in Ontario, Canada;
4 2 a population based study.

5
6
7 3 Authors: S. Desai¹, M.E. Policarpio¹, K. Wong¹, J.B. Gubbay^{2,3}, J. Fediurek¹, S.L. Deeks^{1,4}.

8
9 4 1. Immunization and Vaccine Preventable Diseases Division, Public Health Ontario, Toronto, ON,
10 5 Canada

11
12 6 2. Public Health Ontario Laboratories, Public Health Ontario, Toronto, ON, Canada

13
14 7 3. Department of Laboratory Medicine and Pathobiology, University of Toronto, Toronto, ON,
15 8 Canada

16
17 9 4. Dalla Lana School of Public Health, University of Toronto, ON, Canada

18
19
20 10

21
22 11 Corresponding Author: Shalini Desai

23
24 12
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 **13 Abstract:**
4

5
6 **14 Background:** In Ontario, Canada, there has been a sequential introduction of pneumococcal conjugate
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

14 **Background:** In Ontario, Canada, there has been a sequential introduction of pneumococcal conjugate vaccines (PCV): PCV7, PCV10, PCV13 into the publically funded childhood immunization program since 2005. A 23 valent polysaccharide pneumococcal vaccine (PPV23) has been routinely recommended for adults over 65 years since 1996. This study examines the epidemiology of invasive pneumococcal disease (IPD) in adults 65 years and older in the province after 10 years of routine childhood vaccination.

19 **Methods:** IPD is a reportable disease in Ontario, therefore analysis of the surveillance data from 2007 to 2014 was undertaken.

21 **Results:** A total of 3825 cases of IPD were reported among adults 65 years and older, for an overall annualized incidence rate of 25.4 cases per 100 000 population. There was a decrease in incidence due to serotypes included in PCV7 (3.0 to 0.7 cases per 100 000 population) ($p<0.001$). For PCV13 serotypes, there was a decrease in incidence between 2011-2014 (9.8 to 5.3 cases per 100 000 population ($p<0.001$)). Serotypes unique to PPV23 and those not included in a vaccine increased from 2.3 to 5.8 cases and 2.4 to 7.2 cases per 100 000 population respectively ($p<0.001$).

27 **Interpretation :** After the introduction of PCV into the childhood immunization program, we have shown a decrease in serotype-specific incidence in older adults among those serotypes contained in pneumococcal conjugate vaccines which is likely due to herd immunity. IPD due to unique PPV23 serotypes and those that are not covered by any current vaccine have increased over time.

31 **Key words:** pneumococcal disease, immunization, older adults, Canada, herd immunity

1
2
3 **33 Introduction:**
4

5
6 34 Preventing invasive pneumococcal disease (IPD), an important bacterial cause of clinical syndromes such
7
8 35 as meningitis, bacteremia and complicated pneumonia, is possible with the use of vaccines. Since the
9
10 36 introduction of the 7-valent pneumococcal conjugate vaccine (PCV7), childhood rates of IPD have
11
12 37 declined (1-3). A decrease in nasal carriage of pneumococcal vaccine serotypes among individuals
13
14 38 vaccinated with conjugate vaccines compared with non-immunized individuals has also been described
15
16 39 (4-6). A number of studies have documented a decrease in non-immunized adult incidence of PCV7
17
18 40 serotype IPD due to herd immunity after childhood vaccination (1,2). In a multi-state study reported by
19
20 41 Whitney and colleagues, reductions in the incidence of IPD were seen among adults over 20 years of
21
22 42 age.
23
24
25
26
27

28 43 PCV7 was introduced as a publicly-funded routine childhood program for all children born after January
29
30 44 2004 (7) in the province of Ontario (population ~13 million) in January 2005 (8). The program consisted
31
32 45 of a four-dose schedule with doses administered at 2, 4, 6 and 15 months of age (7). A 10-valent vaccine
33
34 46 (PCV10), replaced PCV7 in October 2009, with a subsequent switch to a 13-valent product (PCV13) in
35
36 47 November 2010 (2). With this most recent change, Ontario also modified their routine program for
37
38 48 infants to receive a total of three doses (at 2, 4 and 12 months). For high risk infants, a four-dose
39
40 49 schedule was continued. In adults, PCV13 has not been used in the publicly-funded program outside of
41
42 50 high-risk individuals (9). However, since 1996, adults over the age of 65 have been eligible for a dose of
43
44 51 PPV-23 which includes 11 additional pneumococcal serotypes.
45
46
47
48

49 52 We reviewed the epidemiology of IPD in Ontario, Canada in adults 65 years of age and older over an
50
51 53 eight-year period to understand whether there were potential herd effects from the routine infant
52
53 54 immunization program.
54
55

56
57 **55 Methods:**
58
59
60

1
2
3 56 All cases of IPD that met the following case definition are reportable within the province of Ontario:
4
5

6 57 Clinical evidence of invasive disease with laboratory confirmation of infection which
7
8 58 includes isolation of *Streptococcus pneumoniae* or detection of *S. pneumoniae*
9
10 59 deoxyribonucleic acid by nucleic acid amplification test from a normally sterile site (e.g.,
11
12 60 blood, cerebrospinal fluid [CSF], excluding middle ear) (10)
13
14
15

16 61 Laboratory results are reported by hospital and private laboratories to public health
17
18 62 unit staff enter core surveillance data into the integrated Public Health Information System (iPHIS).
19
20

21
22 63 The Public Health Ontario Laboratories (PHOL) sends all received isolates of *S. pneumoniae* from sterile
23
24 64 sites to the National Microbiology Laboratory (NML) in Winnipeg, Manitoba for serotyping. In addition
25
26 65 to public health reporting requirements, there is a population based surveillance program, the Toronto
27
28 66 Invasive Bacterial Diseases Network (TIBDN), that collects information on cases of *S. pneumoniae*. There
29
30 67 are multiple hospital sites in the greater Toronto area that participate in this program representing
31
32 68 approximately 4.6 million residents from Ontario. Specimens as well as case information from these
33
34 69 participating sites are sent to the main TIBDN laboratory in Toronto for serotyping. Isolates considered
35
36 70 not typeable by TIBDN methods are forwarded onto NML for further serotyping. All IPD serotype results
37
38 71 (from PHOL and TIBDN) are entered into iPHIS.
39
40
41
42

43 72 Routine reporting of serotype data to public health began in 2007. Therefore, all cases of IPD reported
44
45 73 into iPHIS between January 1, 2007 to December 31, 2014 were extracted for this analysis. We included
46
47 74 only individuals 65 years of age and older. Individuals with non-invasive disease or non-residents of
48
49 75 Ontario were excluded. Age was further divided into 5 year and 10 year age groupings. Data collected
50
51 76 included sex, episode date, serotype, vaccination information, public health unit, and outcome.
52
53 77 Specimens with no serotype information were excluded from serotype-specific analysis. Geographic
54
55 78 areas were consolidated to seven health regions that were used for geographic analysis.
56
57
58
59
60

1
2
3 79 Serotyping was done using latex pneumococcal antisera (Statens Serum Institute, Denmark) and the
4
5 80 capsular swelling Quellung reaction (2).
6
7

8
9 81 Cases were grouped according to serotypes and vaccine groups (i.e., PCV7, additional PCV 13, all PCV 13,
10
11 82 unique PPV23 and non-vaccine preventable serotypes). PCV7 serotype included 4, 6B, 9V, 14, 18C, 19F
12
13 83 and 23F. Additional PCV13 serotypes included 1, 3, 5, 6A 7F and 19A. Unique PPV23 serotypes
14
15 84 included: 2, 8, 9N, 10A, 11A, 12F, 15B, 17F, 20, 22F, and 33F. All other serotypes not included in a
16
17 85 vaccine were considered non-vaccine preventable serotypes (NVP).
18
19

20
21 86 Incidence rates were calculated using annual population estimates from Statistics Canada (2007-2013)
22
23 87 and population projects [2014] obtained through IntelliHEALTH Ontario and the Ontario Ministry of
24
25 88 Health and Long-Term Care (11,12). Descriptive analyses and Poisson regression, to assess trends over
26
27 89 time, were done using SAS (v9.3) and Microsoft Excel (2010). A p value of <0.05 was deemed as
28
29 90 significant.
30
31

32
33 91 We obtained ethics approval from Public Health Ontario's ethics review board.
34
35

36 92 **Results:**

37
38
39 93 A total of 3,825 cases of IPD occurred in adults 65 years of age and older in the study period. There was
40
41 94 a slight female predominance (52.0% of cases). Over the eight year period the overall annual IPD
42
43 95 incidence remained relatively stable ranging from a high of 28.0 per 100,000 population in 2012 to a low
44
45 96 of 22.9 per 100,000 population 65 years and over in 2014 and there was no significant trends in overall
46
47 97 incidence over time (Figure 1). There was geographic variation in the annualized incidence of IPD within
48
49 98 the province; the Northwest region had the highest annualized incidence (34.7 per 100 000 population),
50
51 99 whereas the Northeast and Southwest regions had the lowest (22.3 and 23.7 per 100 000 respectively).
52
53
54
55
56 100 Overall, 66.9% of cases required hospitalization and there was a case fatality ratio of 17.7%.
57
58
59
60

1
2
3 101 *Age Group:*
4
5

6 102 The overall annualized incidence rate of IPD was 25.4 per 100 000 population 65 years of age and older.
7
8 103 When age groups were further subdivided, those 65-74 years of age had an annualized incidence of 18.7
9
10 104 per 100 000. This increased to 26.5 per 100 000 and 50.3 cases per 100 000 in those 75-84 years of age
11
12 105 and over 85 years of age, respectively. In each of these groups, males had a higher annualized incidence
13
14 106 rate than female, and the ratio remained relatively consistent in each age group (Table 1). When viewed
15
16 107 by serotype and age, those in the oldest age group (those over 85 years of age) had significantly more
17
18 108 disease due to all serotypes and by vaccine type than those in the younger age groups. (Table 2).
19
20
21

22
23 109 *Serotype data:*
24
25

26 110 Of the 3,825 cases during the study period, 2,778 cases (72.6%) had serotyping documented. There was
27
28 111 a 20% annual decrease in the incidence of PCV7 included serotypes between 2007 and 2014 from 3.0 to
29
30 112 0.7 cases per 100 000 ($p < 0.001$). When viewed as a trend over time by all PCV13 serotypes, there was a
31
32 113 significant increase ($p < 0.001$) in incidence from 2007 to 2010 (7.0 to 12.0 cases per 100 000) followed by
33
34 114 a significant decrease ($p < 0.001$) in incidence from 2011 to 2014 (9.8 to 5.3 per 100 000). Limiting the
35
36 115 analysis to serotypes that were unique to PCV13 showed a similar trend with a significant increase
37
38 116 ($p < 0.001$) in rates between 2007 and 2010 4.0 to 9.8 cases per 100 000, with a subsequent significant
39
40 117 decrease ($p < 0.001$) in incidence to 4.6 cases per 100 000 in 2014. Further serotype-specific analysis
41
42 118 showed that within those serotypes included in PCV13, from 2011 to 2014 there was a significant
43
44 119 decrease in the incidence per year caused by serotypes 7F (27.9% decrease), 19A (23.0% decrease) and
45
46 120 3 (12.7% decrease).
47
48
49
50

51
52 121 For serotypes unique to PPV23 there was a significant increase ($p < 0.001$) in incidence of cases over the
53
54 122 study period, with incidence increasing from 2.3 cases per 100 000 in 2007 to 5.8 cases per 100 000
55
56 123 individuals in 2014. For serotypes not included in any vaccine, there has also been a significant increase
57
58
59
60

1
2
3 124 ($p < 0.001$) in incidence between 2007 and 2014 from 2.4 cases per 100 000 to 7.2 per 100 000. Further
4
5 125 analysis of unique PPV23 serotypes as well as NVP serotypes by serotype and 10 year age groupings
6
7
8 126 showed the greatest increase in incidence was in the oldest age group. (Figure 2)
9

10
11 127 *Immunization status:*
12

13
14 128 A total of 1223 cases had immunization status documented in iPHIS. Of these, 62.4% were reported as
15
16 129 unimmunized. Of the 37.6% (460/1223) that were immunized with at least one dose of pneumococcal
17
18 130 containing vaccine, 97% (446/460) received PPV23. Interestingly, 26.5% had illness due to a serotype not
19
20 131 covered by PPV23 and 22.6% had unspecified (i.e., unknown or missing) serotypes. Of those 234 cases
21
22 132 who had been immunized with PPV23 and who had disease caused by a serotype contained within
23
24 133 PPV23, 43.6% (102/234) had received vaccine greater than 5 years prior to illness onset. Among those
25
26 134 immunized, 7.8% (36/460) had multiple doses of PPV23 vaccine and 2% (9/460) received a
27
28 135 pneumococcal conjugate vaccine.
29
30
31
32

33 136 **Interpretation:**
34

35
36 137 We have shown that IPD continues to cause a substantial burden of disease in adults 65 years of age and
37
38 138 older in Ontario. Annually, an estimated 3000 IPD cases are reported in Canada as a whole. The highest
39
40 139 incidence is in those over 60 years of age (21.9 cases per 100 000 population)(13). An estimated 90% of
41
42 140 IPD occurs among adults in the United States (14). When data are further analyzed based on ten year age
43
44 141 groupings, it is evident that the greatest burden of disease is in the oldest adults (15,16). This is
45
46 142 important as older adults also have a higher case fatality ratio of IPD compared with those in younger
47
48 143 age groups (16,17) .
49
50
51
52

53 144 We have also demonstrated that the serotype distribution of IPD has changed over the past eight years.
54
55 145 The incidence of NVP serotypes increased over the study period. Our data also show there has been a
56
57
58
59
60

1
2
3 146 decrease in disease caused by serotypes contained within the conjugate vaccines. A universal childhood
4
5 147 conjugate pneumococcal vaccination program has been in place throughout the study period., Therefore
6
7
8 148 the changes observed in the rates of IPD disease in those 65 years of age and older are likely due to a
9
10 149 herd effect from the childhood program, a decrease in nasal carriage and less exposure to *S.*
11
12 150 *pneumoniae*. This is further substantiated by the serotype-specific changes seen. As described by other
13
14 151 groups, prior to the introduction of PCV13 among children, rates of IPD due to serotypes contained
15
16 152 within PCV13 vaccine increased in children as well as adults over time (1,18-21). After the PCV13
17
18 153 introduction into the childhood program in November 2010 in Ontario, we observed a decrease in the
19
20 154 incidence of serotypes unique to this vaccine among the elderly. This is similar to other reports in the
21
22 155 literature with sequential PCV-7/PCV-13 introduction (22-25).
23
24
25
26
27 156 PCV13 was licensed based on an aggregate correlate of protection established for PCV7 vaccine
28
29 157 serotypes of 0.35ug/ml as well as opsonophagocytic antibody (OPA) titre of 1:8. Andrews and
30
31 158 colleagues (26) measured serotype specific correlates of protection in infants who had received two
32
33 159 doses of conjugate vaccine and found that higher cut offs for protection were need for serotypes 1, 3,
34
35 160 7F, 19A and 19F and that an OPA titre of 1:8 was not predictive of protection. Additional evidence from
36
37 161 Harboe and colleagues' (22) investigation of the Danish population-based laboratory data has shown
38
39 162 that since the introduction of pneumococcal conjugate vaccines, serotype 1 and 3 have not shown a
40
41 163 significant change in incidence among those 65 years of age and older while all other serotypes in PCV
42
43 164 13 have. In our study, we observed a statistically significant decrease in the incidence of serotype 3, 7F
44
45 165 and 19A and a decreasing trend for 19F. Our data suggests that based on the incidence of disease,
46
47 166 current correlates of protection do provide a basis for estimating protection and that all serotypes
48
49 167 contained within PCV13 have shown decreasing trends in incidence among the elderly.
50
51
52
53
54
55
56
57
58
59
60

1
2
3 168 PCV13 is a safe and effective vaccine in the elderly. Currently NACI has not recommended that this
4
5 169 vaccine be used universally and no province or territory has implemented universal PCV13 programs
6
7
8 170 among persons 65 years of age and older. In contrast, the ACIP has made a recommendation to include
9
10 171 PCV-13 in the routine adult schedule (27). Based on our finding, the herd effect afforded by the routine
11
12 172 childhood program may be sufficient for those adults who interact with young immunized children.
13
14
15 173 However, this may not be the case for the oldest cohort of adults (those over 85 years of age) or adults
16
17 174 who have limited interaction with children.
18
19
20 175 Interestingly, serotypes unique to PPV23 have increased over our study period. This could be due to
21
22 176 poor vaccine effectiveness or poor vaccine coverage. A comparison of meta analyses done by Melegaro
23
24 177 and Edmunds (28) showed a vaccine effectiveness (VE) of 50-80% against IPD in older adults.
25
26
27 178 Effectiveness also varied by age of receipt of vaccine as well as additional risk factors. Our observed
28
29 179 increase in PPV23 serotype related disease could also be due to a failure to vaccinate. More than 70% of
30
31 180 cases reporting in iPHIS did not have documentation of receipt of PPV23 vaccine. Another explanation
32
33 181 could be waning immunity as 43% of individuals who had received vaccine, had received it more than 5
34
35 182 years prior to illness onset. Morrill and colleagues (24) describe a national cohort of adults over the age
36
37 183 of 50 years in which the percentage of overall cases of pneumococcal infections increased among
38
39 184 immunized individuals with greater than 5 or 10 years since immunization. The authors also showed
40
41 185 that those vaccinated had significantly fewer episodes of IPD despite poor VE. In Ontario, all adults 65
42
43 186 years and over should routinely receive a single dose of PPV23. Vaccine coverage among adults in
44
45
46 187 Ontario is unknown, as there is no immunization registry in the province. National immunization
47
48 188 coverage rates for PPV23 estimate 38.7% (range 34.5-41.5%) of adults 65 years and older receive this
49
50 189 vaccine (29). Additional work to promote this vaccine could further decrease the burden of disease due
51
52
53 190 to IPD.
54
55
56
57
58
59
60

1
2
3 191 There are several limitations to our study. Although IPD is a reportable disease, there is likely under-
4
5 192 reporting. Some cases may not have sought medical attention and some may have received empiric
6
7
8 193 antibiotics without testing. Some variables may also be under-reported, particularly those relating to
9
10 194 outcome. Seventy three percent of our cases did not have serotyping information. This would only
11
12 195 impact our findings if cases without serotype information were systematically different than those with
13
14 196 this information. Our data source did not contain information on risk factors or ethnicity, both of which
15
16
17 197 are known to increase the risk of IPD (23). Finally, as there is no provincial registry for immunization,
18
19 198 assessment of immunization status was limited by data provided during case investigation.
20
21

22 199 **Conclusions:**

23
24
25
26 200 Although the overall incidence of IPD in the elderly has remained relatively stable over time, after the
27
28 201 introduction of a childhood pneumococcal conjugate program, we have shown a decrease in serotype-
29
30 202 specific incidence among serotypes contained in conjugate vaccines in older adults which is likely due to
31
32 203 herd immunity. Disease due to serotypes unique to PPV23 and those that are not covered by any
33
34 204 current vaccine have increased over time. Improving adult immunization rates with PPV23, as well as
35
36
37 205 working towards higher valency conjugate vaccines could lead to further control of IPD in older adults.
38
39

40 206 **Funding: none**

41
42
43 207 **Conflicts of Interest:** JBG has received a research grant from Pfizer Inc. to conduct microbiological
44
45 208 surveillance of *Streptococcus pneumoniae*.
46
47

48
49 209 **Acknowledgements:** The authors would like to thank Lennon Li and Jeremy Herring for their assistance
50
51 210 with statistical testing and population data as well as Lauren Ramsay for her assistance with formatting
52
53 211 and references.
54
55

56 212 **References:**

- 1
2
3 213 (1) Whitney CG, Farley MM, Hadler J, Harrison LH, Bennett NM, Lynfield R, et al. Decline in invasive
4 214 pneumococcal disease after the introduction of protein-polysaccharide conjugate vaccine. *N Engl J Med*
5 215 2003;348(18):1737-1746.
- 6
7
8 216 (2) Lim GH, Wormsbecker AE, McGeer A, Pillai DR, Gubbay JB, Rudnick W, et al. Have changing
9 217 pneumococcal vaccination programmes impacted disease in Ontario? *Vaccine* 2013;31(24):2680-2685.
- 10
11 218 (3) Kellner JD, Vanderkooi OG, MacDonald J, Church DL, Tyrrell GJ, Scheifele DW. Changing epidemiology
12 219 of invasive pneumococcal disease in Canada, 1998–2007: update from the Calgary-area *Streptococcus*
13 220 *pneumoniae* research (CASPER) study. *Clinical Infectious Diseases* 2009;49(2):205-212.
- 14
15
16 221 (4) Obaro SK, Adegbola RA, Banya WA, Greenwood BM. Carriage of pneumococci after pneumococcal
17 222 vaccination. *The Lancet* 1996;348(9022):271-2.
- 18
19
20 223 (5) Mbelle N, Huebner RE, Wasas AD, Kimura A, Chang I, Klugman KP. Immunogenicity and impact on
21 224 nasopharyngeal carriage of a nonavalent pneumococcal conjugate vaccine. *J Infect Dis*
22 225 1999;180(4):1171-1176.
- 23
24 226 (6) Gounder PP, Brewster M, Bruce MG, Bruden DJ, Rudolph K, Hurlburt DA, et al. Impact of the
25 227 Pneumococcal Conjugate Vaccine and Antibiotic Use on Nasopharyngeal Colonization by Antibiotic
26 228 Nonsusceptible *Streptococcus pneumoniae*, Alaska, 2000[FIGURE DASH]2010. *Pediatr Infect Dis J* 2015
27 229 Nov;34(11):1223-1229.
- 28
29
30 230 (7) Public Health Agency of Canada. Update on Pediatric Invasive Pneumococcal Disease and
31 231 Recommended Use of Conjugate Pneumococcal Vaccines. 2010; Available at: [http://www.phac-](http://www.phac-aspc.gc.ca/publicat/ccdr-rmtc/10vol36/acs-3/index-eng.php)
32 232 [aspc.gc.ca/publicat/ccdr-rmtc/10vol36/acs-3/index-eng.php](http://www.phac-aspc.gc.ca/publicat/ccdr-rmtc/10vol36/acs-3/index-eng.php). Accessed Jan/25, 2016.
- 33
34
35 233 (8) Statistics Canada. Population by year, by province, and territory. 2015; Available at:
36 234 <http://www.statcan.gc.ca/tables-tableaux/sum-som/l01/cst01/demo02a-eng.htm>. Accessed Jan/25,
37 235 2016.
- 38
39
40 236 (9) National Advisory Committee on Immunization (NACI). Statement on the use of conjugate
41 237 pneumococcal vaccine–13 valent in adults (Pneu-C-13). *Can Commun Dis Rep* 2013;39:1-52.
- 42
43 238 (10) Ministry of Health and Long-Term Care. Appendix B: Provincial case definitions for reportable
44 239 diseases - Pneumococcal disease, invasive. 2014.
- 45
46
47 240 (11) Population estimates 2005-2013. Ontario Ministry of Health and Long-Term Care: intelliHEALTH
48 241 Ontario, Statistics Canada. Date Extracted: July 2014.
- 49
50 242 (12) Population projections 2014. Ontario Ministry of Health and Long-Term Care: intelliHEALTH,
51 243 Ontario Ministry of Finance. Date Extracted: March 2014.
- 52
53
54 244 (13) Public Health Agency of Canada. Notifiable Diseases On-Line. 2015; Available at: [http://dsol-](http://dsol-smed.phac-aspc.gc.ca/dsol-smed/ndis/charts.php?c=abs)
55 245 [smed.phac-aspc.gc.ca/dsol-smed/ndis/charts.php?c=abs](http://dsol-smed.phac-aspc.gc.ca/dsol-smed/ndis/charts.php?c=abs), 2015.
- 56
57
58
59
60

- 1
2
3 246 (14) Centers for Disease Control and Prevention (CDC). Active Bacterial Core Surveillance Report,
4 247 Emerging Infections Program Network, *Streptococcus pneumoniae*, 2013 2013.
5
6
7 248 (15) Ruiz LA, Zalacain R, Capelastegui A, Bilbao A, Gomez A, Uranga A, et al. Bacteremic pneumococcal
8 249 pneumonia in elderly and very elderly patients: host- and pathogen-related factors, process of care, and
9 250 outcome. *J Gerontol A Biol Sci Med Sci* 2014 Aug;69(8):1018-1024.
10
11 251 (16) Song JY, Choi JY, Lee JS, Bae IG, Kim YK, Sohn JW, et al. Clinical and economic burden of invasive
12 252 pneumococcal disease in adults: a multicenter hospital-based study. *BMC Infect Dis* 2013 May 4;13:202-
13 253 2334-13-202.
14
15
16 254 (17) Lexau CA, Lynfield R, Danila R, Pilishvili T, Facklam R, Farley MM, et al. Changing epidemiology of
17 255 invasive pneumococcal disease among older adults in the era of pediatric pneumococcal conjugate
18 256 vaccine. *JAMA* 2005;294(16):2043-2051.
19
20
21 257 (18) Grijalva CG, Nuorti JP, Arbogast PG, Martin SW, Edwards KM, Griffin MR. Decline in pneumonia
22 258 admissions after routine childhood immunisation with pneumococcal conjugate vaccine in the USA: a
23 259 time-series analysis. *The Lancet* 2007;369(9568):1179-1186.
24
25
26 260 (19) Incidence of invasive pneumococcal disease among children after introduction of a 7-valent
27 261 pneumococcal conjugate vaccine: a population-based study in Olmsted County, Minnesota. *Mayo Clinic*
28 262 *Proceedings: Elsevier*; 2009.
29
30 263 (20) Meichtry J, Born R, Küffer M, Zwahlen M, Albrich WC, Brugger SD, et al. Serotype epidemiology of
31 264 invasive pneumococcal disease in Swiss adults: A nationwide population-based study. *Vaccine*
32 265 2014;32(40):5185-5191.
33
34
35 266 (21) Torné AN, Dias JG, Quinten C, Hrubá F, Busana MC, Lopalco PL, et al. European enhanced
36 267 surveillance of invasive pneumococcal disease in 2010: data from 26 European countries in the post-
37 268 heptavalent conjugate vaccine era. *Vaccine* 2014;32(29):3644-3650.
38
39
40 269 (22) Harboe ZB, Dalby T, Weinberger DM, Benfield T, Mølbak K, Slotved HC, et al. Impact of 13-valent
41 270 pneumococcal conjugate vaccination in invasive pneumococcal disease incidence and mortality. *Clinical*
42 271 *Infectious Diseases* 2014;59(8):1066-1073.
43
44 272 (23) Regev-Yochay G, Paran Y, Bishara J, Oren I, Chowers M, Tziba Y, et al. Early impact of PCV7/PCV13
45 273 sequential introduction to the national pediatric immunization plan, on adult invasive pneumococcal
46 274 disease: A nationwide surveillance study. *Vaccine* 2015;33(9):1135-1142.
47
48
49 275 (24) Morrill HJ, Caffrey AR, Noh E, LaPlante KL. Epidemiology of pneumococcal disease in a national
50 276 cohort of older adults. *Infectious diseases and therapy* 2014;3(1):19-33.
51
52 277 (25) Bruce MG, Singleton R, Bulkow L, Rudolph K, Zulz T, Gounder P, et al. Impact of the 13-valent
53 278 pneumococcal conjugate vaccine (pcv13) on invasive pneumococcal disease and carriage in Alaska.
54 279 *Vaccine* 2015;33(38):4813-4819.
55
56
57
58
59
60

- 1
2
3 280 (26) Andrews NJ, Waight PA, Burbidge P, Pearce E, Roalfe L, Zancolli M, et al. Serotype-specific
4 281 effectiveness and correlates of protection for the 13-valent pneumococcal conjugate vaccine: a
5 282 postlicensure indirect cohort study. *The Lancet Infectious Diseases* 2014;14(9):839-846.
- 8 283 (27) Centers for Disease Control and Prevention. PCV13 (Pneumococcal Conjugate) Vaccine. 2015;
9 284 Available at: <http://www.cdc.gov/vaccines/vpd-vac/pneumo/vac-PCV13-adults.htm>. Accessed Jan/2016,
10 285 2016.
- 13 286 (28) Melegaro A, Edmunds WJ. The 23-valent pneumococcal polysaccharide vaccine. Part I. Efficacy of
14 287 PPV in the elderly: a comparison of meta-analyses. *Eur J Epidemiol* 2004;19(4):353-363.
- 16 288 (29) Public Health Agency of Canada. Vaccine coverage amongst adult Canadians: results from the 2012
17 289 adult National Immunization Coverage (aNIC) survey. 2014; Available at: <http://www.phac->
18 290 [aspc.gc.ca/im/nics-enva/vcac-cvac-eng.php](http://www.phac-aspc.gc.ca/im/nics-enva/vcac-cvac-eng.php). Accessed Jan/25, 2016.

20
21 291
22
23 292
24
25 293
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Confidential

294 **Table 1.** Annualized age-specific incidence (per 100000 population) of IPD among adults 65 years of age
 295 and older by sex, in Ontario, Canada from 2007 to 2014 (n=3822)

Age group	Sex				Total	
	Females		Male		No.	Rate (per 100,000 population)
	No.	Rate (per 100,000 population)	No.	Rate (per 100,000 population)		
65-74 years old	715	16.7	804	20.9	1519	18.7
75-84 years old	688	24.2	642	29.5	1330	26.5
85+ years old	587	45.0	386	60.9	973	50.2

296 Note: excludes 3 cases of unknown sex

297

298

299

300 **Table 2.** Annualized age-specific incidence (per 100 000 population) of IPD among adults 65 years of age
 301 and older in Ontario, Canada from 2007 to 2014

Vaccine type	Age group					
	65-74 years old (rate per 100 000 population)	p-value	75-84 years old (rate per 100 000 population)	p-value	85+ years old (rate per 100 000 population)	p- value
All types	18.7	Reference	26.5	<0.001	50.3	<0.001
PCV 7 ST	1.3	Reference	1.6	0.205	4.0	<0.001
Additional PCV13 ST	5.3	Reference	6.8	0.001	12.4	<0.001
PCV-13 ST	6.7	Reference	8.5	0.001	16.5	<0.001
Unique PPV23 ST	3.7	Reference	4.9	0.001	9.0	<0.001
NVP ST	3.4	Reference	5.7	<0.001	10.6	<0.001

302

IPD Figures

Figure 1. Annual incidence rates of serotype groups by vaccine type overall and among adults 65 years ar

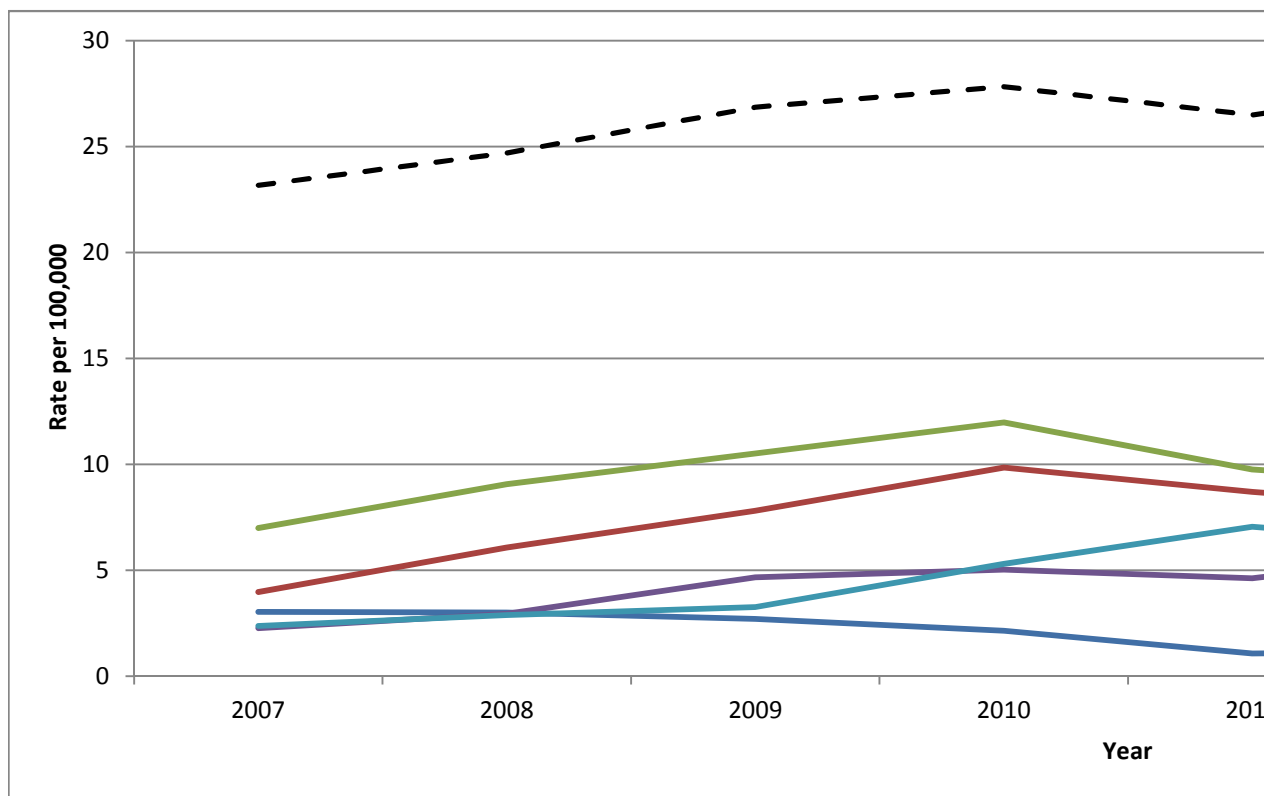
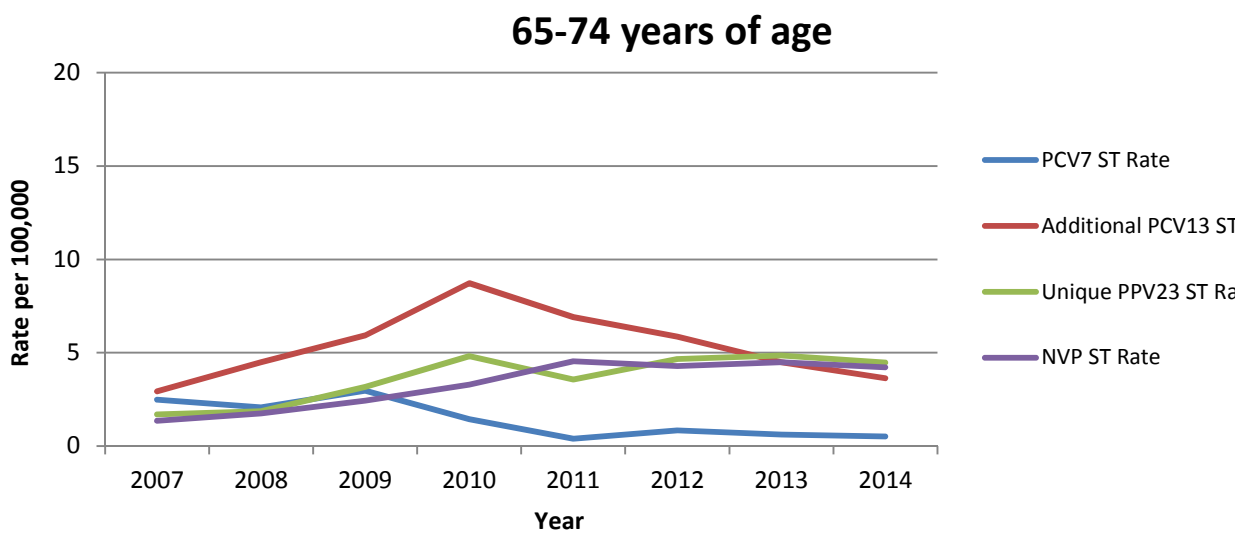


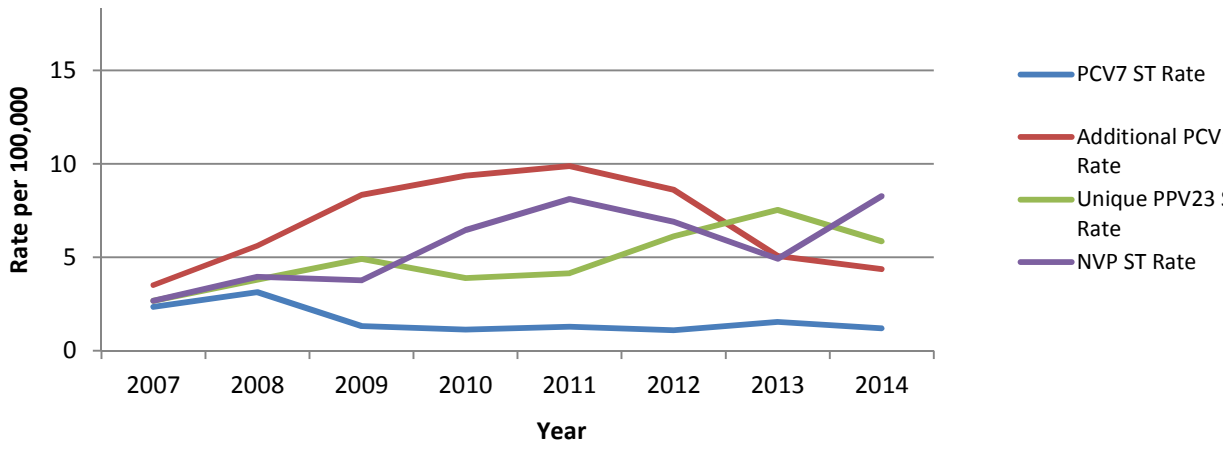
Figure 2. Annual age-specific incidence rates of serotype groups by vaccine type among adults 65 years a



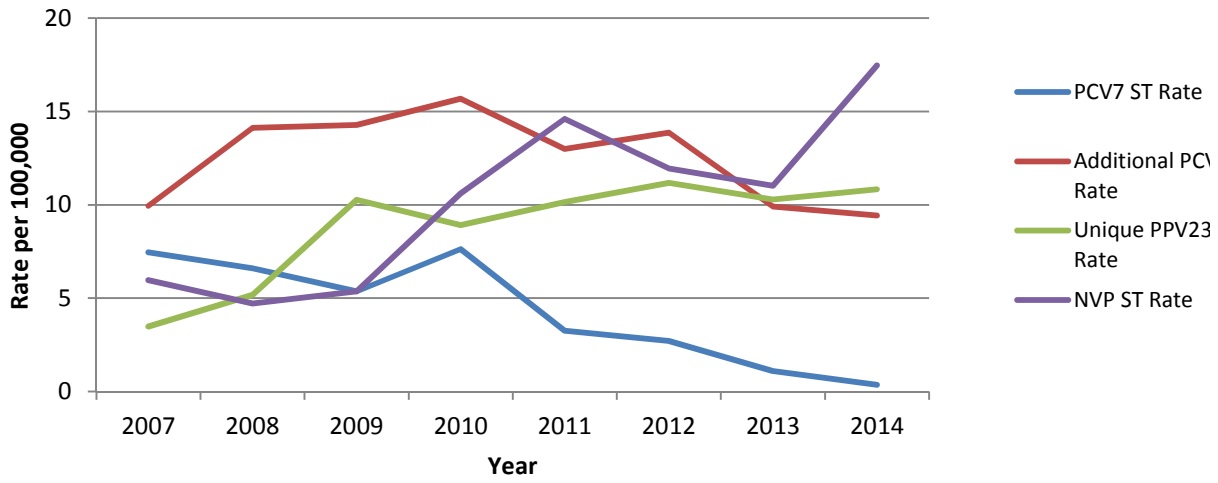
75-84 years of age



1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

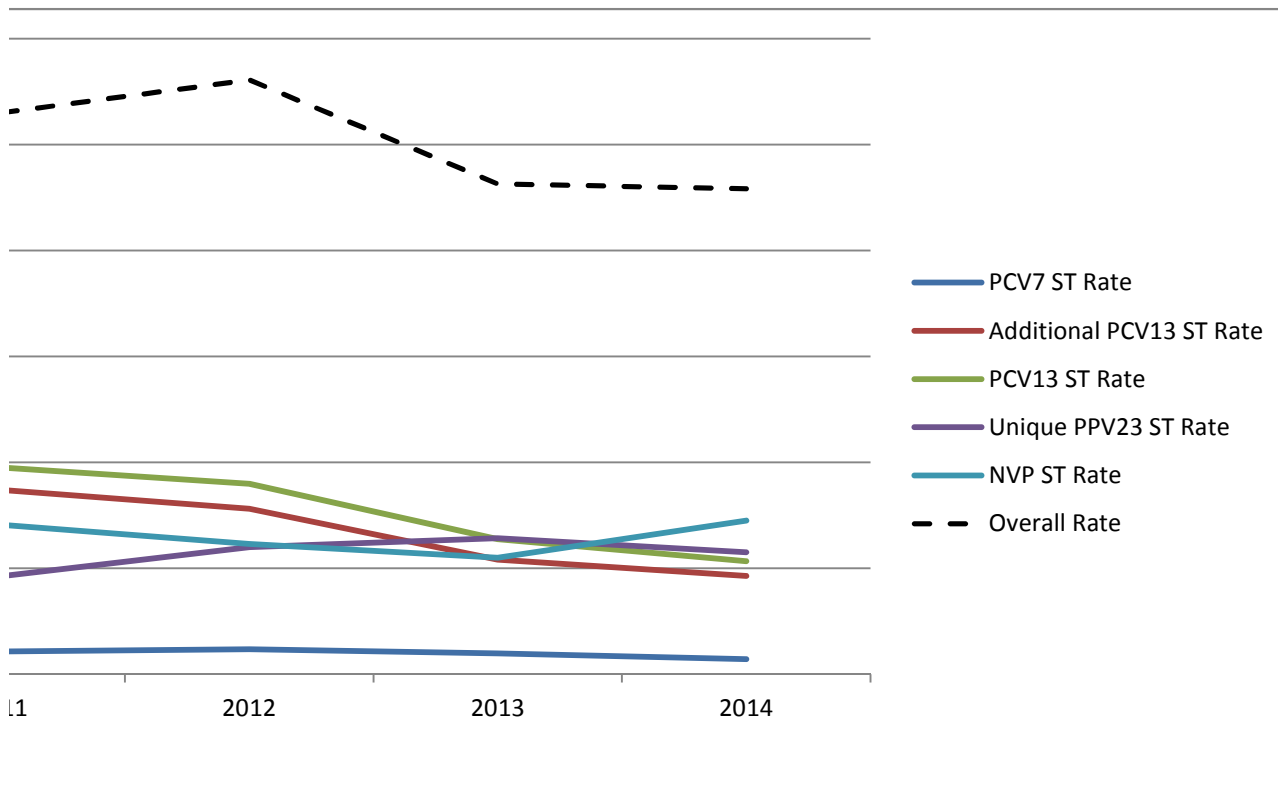


85+ years of age



ntial

and older, in Ontario, Canada from 2007 to 2014



and over (by 10 year groupings) in Ontario, Canada from 2007 to 2014

Rate

ate

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

'13 ST

ST

V13 ST

ST

Confidential